## STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

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IN THE MATTER OF: R. ALAN JAMIESON,	)	0500534
D/B/A RICH HOLDINGS, LTD., his partners, officers	)	
and directors, agents, employees, affiliates, successors and assigns.	)	
	)	

## **TEMPORARY ORDER OF PROHIBITION**

TO RESPONDENT:

R. Alan Jamieson

2714 West Argyle Street Chicago, Illinois 60625

On information and belief, I, Jesse White, Secretary of State for the State of Illinois, through my designated representative, who has been fully advised in the premises by the staff of the Securities Department, Office of the Secretary of State, herein find:

- 1. That R. Alan Jamieson ("Respondent") is an individual d/b/a Rich Holdings Ltd., with a last known address of 2714 West Argyle Street, Chicago, Illinois 60625.
- 2. That Respondent has, by a letter directed to a Georgia company, offered to enter into an investment agreement in which Respondent would provide that company funding for a gas and oil project in exchange for an advance fee.
- 3. The offering lette, which is signed by Respondent, states, in part, "I am interested in the investment of \$28,000,000 in the project..." and "your investor (sic) of the five hundred thousand dollars will be required to deposit the funds in our lawyers trust account as a sign of good faith in the project..."
- 4. Respondent's letterhead reads, "RICH HOLDINGS LTD." and "2714 West Argyle St., Chicago, IL 60625."
- 5. That the written offer Respondent has made to the Georgia company constitutes the activity of loan brokering in the State of Illinois within the meaning of Section 15-5.15 of the Illinois Loan Brokers of 1995 [815 ILCS 175/15-1 et seq.](the "Act").
- 6. That Respondent was never registered to engage in the business of loan brokering in the State of Illinois.

- 7. That Section 15-10 of the Act provides, *inter alia*, it shall be unlawful for any person to engage in the business of loan brokering unless registered under the Act.
- 8. That by virtue of the foregoing, Respondent has violated Section 15-10 of the Act.
- 9. That Section 15-55 of the Act provides, *inter alia*, that the Secretary of State may temporarily prohibit any person from acting as a loan broker or engaging in the business of providing loan brokerage services, without notice and prior hearing, if the Secretary of State shall deem it necessary to prevent an imminent violation of the Act or to prevent losses to clients which the Secretary of State reasonably believes will occur as a result of a prior violation of the Act.
- That the entry of this Temporary Order prohibiting Respondent R. Alan Jamieson from acting as a loan broker or engaging in the business of providing loan brokerage services in the State of Illinois is in the public interest and for the protection of the public and is consistent with the purposes intended by the provisions of the Act.

NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 11.F of the Act, Respondent R. Alan Jamieson, his parntners, officers and directors, agents, employees, affiliates, successors and assigns are **PROHIBITED** from acting as a loan broker in or from this State; <u>and</u> is **PROHIBITED** from in engaging in the business of providing loan brokerage services in or from this State, until the further Order of the Secretary of State.

NOTICE is hereby given that Respondent may request a hearing on this matter by transmitting such request in writing to the Director, Illinois Securities Department, 69 West Washington Street, Suite 1220, Chicago, Illinois 60602. Such request must be made within thirty (30) calendar days of the date of entry of the Temporary Order of Prohibition. Upon receipt of a request for hearing, a hearing will be scheduled as soon as reasonably practicable. A request for hearing will not stop the effectiveness of this Temporary Order and will extend the effectiveness of this Temporary Order for sixty days from the date the hearing request is received by the Department.

FAILURE BY ANY RESPONDENT TO REQUEST A HEARING WITHIN THIRTY (30) CALENDAR DAYS AFTER ENTRY OF THIS TEMPORARY ORDER OF PROHIBITION SHALL CONSTITUTE AN ADMISSION OF ANY FACTS ALLEGED HEREIN AND SHALL CONSTITUTE SUFFICIENT BASIS TO MAKE THIS TEMPORARY ORDER OF PROHIBITION FINAL.

Dated: This 232 day of October 2007.

JESSE WHITE
Secretary of State
State of Illinois

James J. Tierney Illinois Securities Department 69 West Washington Street, Suite 1220 Chicago, Illinois 60602 312-793-9650